

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,829	09/05/2003	Hidehiko Sekizawa	S1459.70062US00	8415
7590 06/14/2005			EXAMINER	
Randy J. Pritzker			CHANG, AUDREY Y	
Wolf, Greenfield & Sacks, P.C. 600 Atlantic Avenue Boston, MA 02210			ART UNIT	PAPER NUMBER
			2872	

DATE MAILED: 06/14/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

De

	Application No.	Applicant(s)			
	10/656,829	SEKIZAWA ET AL.			
Office Action Summary	Examiner	Art Unit			
	Audrey Y. Chang	2872			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 28 M	arch 2005.				
,	action is non-final.				
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims					
4) Claim(s) 1-9 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-9 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9)☐ The specification is objected to by the Examiner. 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 					
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Peper No(c)/Mail Date	4) Interview Summar Paper No(s)/Mail I 5) Notice of Informal 6) Other:				

Application/Control Number: 10/656,829 Page 2

Art Unit: 2872

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on March 28, 2005 has been entered.

- 2. This Office Action is also in response to applicant's amendment filed on March 28, 2005, which has been entered into the file.
- 3. By this amendment, the applicant has amended claims 1, 3-5 and 7-8.
- 4. Claims 1-9 remain pending in this application.

Response to Amendment

The amendment filed March 28, 2005 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: claims 1 and 5 have been amended to include the feature for the polarized eyeglass device for use with a *plurality* of stereoscopic image display *apparatus*. The specification only gives support for the eyeglass to be used with a single stereoscopic image display apparatus with different types of arrangements for the *image* to be displayed but not for a plurality of the apparatus. The apparatus is referred to the actual optical element arrangements.

Applicant is required to cancel the new matter in the reply to this Office Action.

Application/Control Number: 10/656,829 Page 3

Art Unit: 2872

Claim Rejections - 35 USC § 112

6. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

7. Claims 1-9 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The reasons for rejection based on the newly added matters are set forth in the paragraph above.

8. Claims 1-9 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Claims 1 and 5 have been amended to include the features of the "compatibility" of the polarizing eyeglass with a "plurality of stereoscopic image display apparatus" however the specification and the claims fail to teach how does this "compatibility" be achieved.

The specification and the claims also fail to teach how does the stereoscopic image observation criterion, namely the left eye image and right eye image only reaches left eye and right eye respectively in the arrangement stated in claims 1 and 5. The specification and the claims fail to provide the *essential relationships* in concerning the *polarization states* of the image light for the right eye and left eye image with respect to the *polarization rotation property* of the "phase difference plate", the polarization property of the "polarized light separation means" and the polarization rotation properties of the "first and second polarization change means" so that the essential criterion such that the left eye image will only reached left eye, (i.e. right eye image is blocked from reaching left eye) and right eye image only reaches

Art Unit: 2872

right eye (i.e. left eye image is blocked from reaching the right eye) is achieved. The applicant is respectfully requested to study the polarization relationship set up in the cited **Rosencwaig** reference. Currently, the claims fail to provide the essential condition for making the polarizing eyeglass capable of observing stereoscopic image.

Claims 3, 4 and 7, 8 have been amended to have the first and second polarization changing means be reversed in order or position. However the specification fails to teach how could the stereoscopic image still be viewed when the positions are reversed. This will only be possible if the *image format* displayed on the screen is also changed.

Claim Objections

9. Claims 1-9 are objected to because of the following informalities:

(1). Claims 1-9 are being incomplete for omitting essential structural cooperative relationships of elements, such omission amounting to a gap between the necessary structural connections. See MPEP § 2172.01. The omitted structural cooperative relationships are: the polarized light separation means, the first and second polarization direction changing means. Claims 1 and 5 recite that "the second polarization direction changing means adhered to a second face opposite to the first face of the polarized light separation means" which makes the polarizing eyeglass device NOT workable. If the polarization direction changing means is placed after the light passes through the polarized light separation means (which generally is a polarizer), it really contributes no effect to the viewing selection. It is known that the polarization direction changing means is provided to change the direction of certain polarized light to be aligned with the polarized light selection means in order for the selected light to pass through to the observer. If the polarized light has already passed through the polarized light separation means, what is the point of changing its polarization direction afterwards? The scopes of the claims particularly

concerning the second polarization direction changing means and its logical cooperation with respect to other elements in the device are not clear and confusing.

The specification and the claims also fail to teach how could the reversing of the first and second polarization direction changing means *leftwardly* and *rightwardly* or *forwardly* and *backwardly* will make the device operable. Change order and location of the polarized light separating means and the polarization direction changing means, will change the polarization states of the image light which may make the eyeglasses not workable. In fact, by simply changing or reversing the position of the first and second polarization direction change means will actually make the eyeglass NOT able to see stereoscopic image display, if the order of the left eye and right eye image displayed on the image display screen is not changed accordingly.

(2). The phrase "in an opposing relationship" recited in claims 1 and 5 is confusing since it is not clear what is opposed here.

Appropriate correction is required.

Claim Rejections - 35 USC § 103

- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 11. Claims 1, 3-4, 5 and 7-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over the patent issued to PCT publication by Rosencwaig (WO 95/00872).

Rosencwaig teaches a polarizing eyeglasses having a pair of lenses (140, and 146, Figure 4), that is used in stereoscopic vision system having an image display screen (130) that is compressed of plurality

Art Unit: 2872

of pixels (126 and 128) that alternatively displaying parallax image information for right eye (pixels 126) and left eye (pixels 128) respectively. Rosencwaig teaches that the stereoscopic vision system further comprises a polarizing plate (132) placed in front of the display screen and a birefringent retarder (134) serves as the phase difference plate placed in front of the polarizing plate at the positions corresponding to the pixels element of (126), that are the pixel elements for displaying image information intended only for one eye, (such as right eye). The birefringent retarder has the function of rotating or changing the polarization direction of the image light from pixel elements (126). Rosencwaig teaches that the polarizing eyeglass is worn by the observer to enable stereoscopic viewing, (which means that only right eye image light reaches right eye and only left eye image light reaches left eye). The polarizing eyeglasses comprises two lenses (140 and 146) that includes a polarizer (144 and 148) serves as the polarized light separating means that will only allow image light having the corrected polarization state to pass. The polarizing eyeglasses further comprises a birefringent retarder (142), placed at the first face of the polarized light separating means, serves as the first polarization direction changing means to change the polarization direction of the image light intended for the left eye so that the image light has the same polarization direction as compared to the polarized light separating means, (please see Figure 4, the abstract and pages 7-9). The polarizing eyeglass therefore allows only the right eye image light to reach the right eye and the left eye image light to reach left eye.

This reference has met all the limitations of the claims with the exception that it does not teach explicitly to include a second polarization direction changing means that is placed at *opposite face* of the polarized light separating means. However the claims **fail** to provide logical relationship as how does the second polarization direction changing means cooperate with other elements in the claims to make the device operable. That is to say the scopes concerning this element is not clear and is indefinite. This therefore makes impossible for the examiner to address this feature. Since the second polarization direction changing means DOES NOT effect the function of the polarizing eyeglasses in viewing the

Application/Control Number: 10/656,829

Art Unit: 2872

stereoscopic vision, it would have been obvious matters of design choice to one skilled in the art to add additional optical element that DOES NOT effect the function as wish. One skilled in the art would however understand that by adding the same birefringent element (142) at the backside of the right eye lens (146) will not affect the image viewing, the addition of the element will just be redundant.

Claims 1 and 5 have been amended to include the feature of having the polarizing eyeglass use or are compatible with a plurality of stereoscopic image display apparatus. Rosencwaig does not teach this explicitly. However as claimed in claims 1 and 5, since each of the plurality of stereoscopic image display apparatus has essentially the same optical arrangement, this limitation must be met by the teachings of Rosencwaig since it is implicitly true that the polarizing eyeglass certainly can be compatible and use with a *plurality* of the stereoscopic image display apparatus that all has essentially the same optical arrangements.

With regard to claims 3-4 and 7-8, it is implicitly true that the polarizing eyeglasses could be moved leftwardly/rightwardly or forwardly/backwardly by the hands of the observer. This reference however does not teach to have the first and second polarization direction change means changed position in a reversal relationship. However such reversing position will cause the polarizing eyeglass not able to view stereoscopic image in arrangement as oppose to the other arrangement since the polarization state of the image light works in the first arrangement will not work for the second arrangement. One skilled in the art however will realize by interchanging the left eye lenses arrangement (140) of Rosencwaig and the right eye lenses arrangement (146) and by making the pixels rows 128 now displaying right eye image and the pixel row (126) now displaying left eye image, (i.e. reversing the image displaying order), the stereoscopic image will be observed.

Page 8

12. Claims 2 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over PCT publication of Rosencwaig as applied to claims 1 and 5 above, and further in view of the patent issued to Matsuda (PN. 4,989,967).

The polarizing eyeglasses utilized in a stereoscopic vision system taught by **Rosencwaig** as described for claims 1 and 5 above has met all the limitations of the claims. This reference however does not teach explicitly to use a pair of protective layers to cover the polarized light separation means and the polarization direction changing means. However it is very common knowledge in the art to use protective layer to cover the lenses of the eyeglasses as demonstrated by the teachings of **Matsuda** wherein a **protective layer** (1a, Figures 1b and 4) is used to coat the lens element. It would then have been obvious to one skilled in the art to use protective layers to coat the polarizing eyeglasses for the benefit of protecting it from environmental damages.

Response to Arguments

13. Applicant's arguments filed on March 28, 2005 have been fully considered but they are not persuasive. Applicant's arguments are mainly drawn to the amendment to the claims and they have been fully addressed in the paragraphs above.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Audrey Y. Chang whose telephone number is 571-272-2309. The examiner can normally be reached on Monday-Friday (8:00-4:30), alternative Mondays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Drew Dunn can be reached on 571-272-2312. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/656,829 Page 9

Art Unit: 2872

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Audrey Y. Chang, Ph.D. Primary Examiner Art Unit 2872

A. Chang, Ph.D.